

**Real-world effect of antidepressants for depressive disorder in primary care: protocol of a population-based cohort study**

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## **ABSTRACT**

### **INTRODUCTION**

Clinical guidelines recommend antidepressants as the first line of treatment for adults with moderate to severe depression. Randomised trials provide the best evidence on the comparative effectiveness of antidepressants for depression, but are limited by a short follow-up and a highly selected population. We aim to conduct a cohort study on a large database to assess acceptability, efficacy, safety and tolerability of antidepressant monotherapy in people with depressive disorder in primary care.

### **METHODS AND ANALYSIS**

This is a protocol for a cohort study using data from the QResearch primary care research database, which is the largest general practice research database in the UK.

We will include patients registered for at least one year from 1<sup>st</sup> Jan 1998, diagnosed with a new episode of depression and on antidepressant and a comparison group not on antidepressant.

The exposure of interest will be treatment with antidepressant medications.

Our outcomes will be acceptability (treatment discontinuation due to any cause), efficacy (clinical response and remission); safety (adverse events and all-cause mortality); and tolerability (dropouts due to any adverse event) measured at 2 months, 6 months and one year.

For each outcome, we will estimate the absolute risks for all antidepressants, and relative effects between antidepressants using Cox's proportion hazards models. We will calculate hazard ratios (HRs) and 99.9% confidence intervals (CIs) for each outcome of interest.

### **DISCUSSION**

The main limitation is the observational nature of our study, while the major strengths include the large representative population contained in QResearch and the possibly high generalisability.

## SUMMARY BOX

### *What is already known about this subject?*

- In England alone 64.7millions of antidepressants are prescribed.
- In clinical trials, after two months of treatment an average 26.4% of patients discontinue antidepressants due to any cause, and an average of 10.4% of patients discontinue antidepressants for side-effects that cannot be tolerated.
- Clinical trials focus on treatments over short periods of time (8-12 weeks) in highly selected patients.

### *How might it impact on clinical practice in the foreseeable future?*

- This study performed in a large primary care registry may complement evidence provided by RCTs and the results might have a high generalisability.
- This study will inform clinicians, patients and policy makers on the real-world acceptability, efficacy, safety and tolerability of antidepressant monotherapy in adults with depressive disorder.

## INTRODUCTION

Depression is a very common major health problem, 350 million people in the world are affected.[1] There are several effective treatments for depression including drug treatment and talking therapies,[2] but clinical guidelines often recommend antidepressant medications as the first method of treatment for adults with moderate to severe depression.[3,4,5] Antidepressants are very commonly used (in England alone, 64.7m prescriptions for antidepressants were dispensed in 2016). After two months of treatment, an average 26.4% of patients discontinue antidepressants due to any cause, while an average of 10.4% of patients discontinue antidepressants for side-effects that cannot be tolerated.[6] This might happen because antidepressants are prescribed without a clear understanding of which drug is the most appropriate medication for each patient taking into account their characteristics, so people often stop the antidepressant early because they are prescribed a drug which might work for the “average person” but has not been tailored to them individually.[7] Clinical trials provide the best evidence regarding average comparative efficacy of drugs, but they are usually not designed to assess adverse events, especially if they are rare or less common. In addition, their external validity is limited because they focus on treatments over short periods of time (usually 8-12 weeks) in highly selected patients rather than in more complicated, real-world cases, such as patients with multiple co-morbidities and concurrent long-term medication that are seen in routine practice by general practitioners (GPs). To understand the effects of antidepressants in real world conditions, we aim to conduct a cohort study using a large and representative sample from primary care in England, to assess acceptability, efficacy, safety and tolerability of antidepressants in adults with depression.

## **METHODS**

### **Setting**

We will undertake the study using data from the QResearch primary care research registry ([www.qresearch.org](http://www.qresearch.org)). This registry is the largest general practice research database in the UK, and it contains in total the anonymised electronic healthcare records of over 35 million patients ever registered with 7,500 general practices throughout UK.[8] Consent to provide data for QResearch was sought from all UK practices using the Egton Medical Information Systems (EMIS) medical records system. EMIS is the major supplier of primary care computer systems in the UK and is in use in two-thirds of all UK general practices.

The information recorded on the QResearch database includes patient demographic data (year of birth, gender, socio-economic data), characteristics (height, weight, smoking status), symptoms, clinical diagnoses, consultations, referrals, prescribed medications and results of investigations. The latest version of the QResearch database, which is updated quarterly, will be used for the analysis.

Detailed analyses have compared QResearch practices with all UK practices and found that practices contributing to QResearch are somewhat larger than UK practices overall but are very similar in other respects (e.g. age-sex distribution and prevalence of chronic diseases).[8] Within QResearch, we will use data coming from English general practices.

### **Population**

We will initially identify an open cohort of patients aged 18-100 years at the study entry date drawn from patients registered with eligible practices since 1<sup>st</sup> Jan 1998. Patients will be included if they

have been registered with the practice for at least 12 months. Patients will be followed up for 12 months.

We will use Read codes to identify patients with a diagnosis of depression, using as a starting point, case definitions that have been used in previous studies (see supplementary file 1).[9,10] We will exclude:

- patients with a recorded diagnosis of bipolar disorder or schizophrenia spectrum disorder made at any point during their lifetime;
- patients with a diagnosis of post-partum depression made within 180 days before or up to 180 days after the first diagnosis of depression;
- patients prescribed more than one antidepressant at baseline;
- patients prescribed antipsychotics or mood stabilisers;
- patients if they had received prescriptions for an antidepressant or they had a diagnosis of depression before their entry date in the cohort;
- patients with a diagnosis of depression made two months before or two months after starting an antidepressant.

Our final cohort for analysis will include exposed groups of patients on each antidepressant monotherapy, and an unexposed group of patients not on antidepressants.

### **Drug Exposure**

The primary exposure of interest is the use of licensed antidepressants, which will be considered individually and also grouped according to the four main classes in the British National Formulary (BNF) (<https://bnf.nice.org.uk>). The groups will consist of tricyclics (TCAs) (i.e. amitriptyline, amoxapine, butriptyline, clomipramine, desipramine, dosulepin, doxepin, imipramine, iprindole,

lofepramine, maprotiline, mianserin, nortriptyline, protriptyline, trimipramine, viloxazine), selective serotonin reuptake inhibitors (SSRIs) (i.e. citalopram, escitalopram, fluoxetine, fluvoxamine, paroxetine, sertraline), monoamine oxidase inhibitors (MAOIs) (i.e. isocarboxazid, moclobemide, phenelzine, tranylcypromine) and other antidepressants (i.e. agomelatine, duloxetine, mirtazapine, nefazodone, reboxetine, tryptophan, trazodone, venlafaxine, vortioxetine).[11]

Information will be extracted from all prescriptions for antidepressants issued during 12-months follow-up. We will calculate the duration of each prescription in days by dividing the number of tablets prescribed by the number of tablets to be taken each day. If the information on tablets per day is missing or not sufficiently detailed (expected to be < 5% of total prescriptions) we will estimate the duration of the prescription based on the number of tablets prescribed, as in previous studies.[10] Patients will be classified as continually exposed to an antidepressant during periods where there were no gaps of more than 30 days between the end of one prescription and the start of the next (most antidepressants at the beginning of a treatment are prescribed for not more than 28-30 days). Patients will be also classified as exposed for the first 30 days after the estimated date of stopping an antidepressant in order to account for any delays in starting the prescription or accumulation of tablets as well as to attribute the outcomes occurring during withdrawal periods to the antidepressant, as done in previous studies.[10] The daily dose of each prescription will be calculated by multiplying the number of tablets to be taken each day by the dose of each tablet, and then converted to a defined daily dose using values assigned by the World Health Organization's Collaborating Centre for Drug Statistics Methodology ([www.whocc.no/atc\\_ddd\\_index](http://www.whocc.no/atc_ddd_index)).

## **Outcomes**



We will measure the following outcomes up to two months, six months and one year from the initial prescription of antidepressants or from the date of the first episode of depression for patients not on antidepressants. We will consider extending the follow-up to two years if data are available.

#### *Primary outcomes*

1) Acceptability of treatment will be measured as all cause treatment dropout rate.

Treatment dropout will be computed if:

- A patient has a gap of more than 30 days between the end of a prescription of an antidepressant and the start of the next prescription, or
- a patient switches to another antidepressant, or
- a patient is prescribed an additional antidepressant, a mood stabiliser, or an antipsychotic (augmentation).

2) Tolerability of treatment, measured as treatment dropout (measured as described above) after any adverse event occurred (i.e. no subsequent prescriptions or implementation of a switch/combination/augmentation strategy after the occurring of an adverse event). Treatment dropout would have to occur within 30 days of an adverse event to be assumed to be a consequence of the adverse event. The list of adverse events is described below.

#### *Secondary outcomes*

3) Safety, measured as:

- number of patients with at least one adverse event (AE) among the ones specified below.

We will use the Read codes for adverse events that have been shown in randomised trials as the frequent and severe adverse events of antidepressant. These include: nausea, headache, dry mouth, insomnia, dizziness, sedation/somnolence, diarrhoea, constipation, sexual

dysfunction, fatigue, rhinitis/ nasopharyngitis, hyperhidrosis, respiratory disorder (infection, cough), anxiety, decreased appetite, increased appetite, tremor, pain, vomiting, abdominal pain/discomfort, dyspepsia, agitation, visual impairment, ejaculation disorder/erectile dysfunction, weight increased, weight decreased, arrhythmia/heart rate disorder, abnormal dreams, infection, blood pressure increased, blood pressure decreased, extrapyramidal disorders, suicidal ideation, suicide behaviour or self-harm, hot flush, dysuria, skin disorder, flatulence, urinary disorders, injury, yawning, eye disorders, paraesthesia, nervous system symptoms, feeling cold, menstrual disorder, chest pain, disturbance in attention, libido increased, psychiatric symptoms, fall, confusional state, salivary hypersecretion, accidental overdose, cardiovascular symptoms (e.g. angina), sleep disturbance, oedema, aggression, completed suicide, affect lability, fever, euphoric mood, hypersomnia, memory impairment, muscular skeletal problems, serotonin syndrome, withdrawal syndrome, fractures, upper gastrointestinal bleeding, bleeding at any site, epilepsy/seizures.

The classification of the above mentioned side effects is performed based on the use of preferred terms from MedDRA (<https://www.meddra.org/>) to categorise each adverse event into categories. MedDRA has been developed by the International Council for Harmonisation of Technical Requirements for Pharmaceuticals for Human Use to provide a single standardised international medical terminology which can be used for regulatory communication and evaluation of data pertaining to medicinal products for human use. As a result, MedDRA is designed for use in the registration, documentation and safety monitoring of medicinal products through all phases of the development cycle (i.e., from clinical trials to postmarketing surveillance). The side effects proposed in our protocol are similar to those considered in Tomlinson et al., 2019 [12] as we are focusing on clinically relevant outcomes which have been evaluated also in RCTs.

- All-cause mortality. Patients who have died will be identified using death data recorded on the patients' general practice record as in previous studies.[10,13]

4) Efficacy, measured at the last observation before 2, 6 and 12 months, as:

- Clinical remission, measured as scoring less than a prespecified threshold on a standardised rating scale,[6] such as the Hamilton Depression Rating Scale (HDRS), the Montgomery and Asberg Depression Rating Scale (MADRS), the Beck Depression Inventory (BDI), the Patient Health Questionnaire (PHQ-9), the Geriatric Depression Scale (GDS) (e.g. a score < 7 for the HDRS would be considered as remission).
- Clinical response to antidepressant treatment, measured as 50% reduction on a standardised rating scale, compared with the most recent value recorded in the 6 months before the first antidepressant prescription.

### **Confounder variables**

Confounders will include baseline variables that we consider to be potential risk factors for the outcomes and are also potentially associated with the likelihood of receiving a particular antidepressant treatment, based on previous studies of antidepressants.[9] These include:

- age at study entry, in years;
- sex;
- Body Mass Index (BMI);
- year of diagnosis of depression;
- type of diagnosis (major depressive disorders, minor depression, other);
- severity of index diagnosis of depression (categorised as mild, moderate or severe, using the Read codes published by Martinez et al.;[14]

- deprivation (Townsend deprivation score corresponding to the patients postcode, in fifths);[15]
- smoking status (non-smoker, ex-smoker, light smoker: 1–9 cigarettes/day, moderate smoker: 10–19 cigarettes/day, heavy smoker:  $\geq 20$  cigarettes/day, not recorded);
- alcohol intake (none, trivial:  $< 1$  unit/day, light: 1–2 units/day, medium: 3–6 units/day, heavy: 7–9 units/day, very heavy:  $> 9$  units/day, not recorded);
- ethnic group (categorised as either white/not recorded or non-white [Indian, Pakistani, Bangladeshi, other Asian, black African, black Caribbean, Chinese, other including mixed]);
- comorbidities at baseline (binary variables for each of coronary heart disease, stroke/transient ischaemic attack, diabetes, hypertension, cancer, epilepsy/seizures, hypothyroidism, osteoarthritis, rheumatoid arthritis, suicidal ideation/behaviour or self-harm, obesity, asthma/chronic obstructive airways disease, osteoporosis, liver disease, renal disease, obsessive-compulsive disorder); and
- use of other drugs at baseline (binary variables for each of anticonvulsants, hypnotics/anxiolytics, antihypertensive drugs, aspirin, statins, anticoagulants, non-steroidal anti-inflammatory drugs, bisphosphonates, oral contraceptives, hormone replacement therapy).

### **Handling of missing data**

Excluding subjects with missing values would reduce the sample study size, thus decreasing precision and power.[16] We will use multiple imputation by chained equations to impute values when actual values are not available. We will first analyse the pattern of distribution of missing values in order to assess if the data are suitable for multiple imputation using chained equations. For each imputation we will generate ten imputed datasets and we will combine coefficient estimates across these using Rubin's rules.[17] We will include all of the confounding variables in

the multiple imputation process, along with the outcome variable as it carries information about predictors' missing values.

### **Statistical analysis**

We will use Stata MP 16.0 to conduct statistical analysis. We will follow the “REporting of studies Conducted using Observational Routinely-collected health Data” (RECORD) Statement.[18]

Our primary analysis will be time to event, while self-controlled case-series and propensity score will be secondary analysis.

#### *Descriptive statistics*

We will split the population into antidepressant users and non-users.

In the study cohort of patients with a diagnosis of depression we will summarise baseline characteristics with descriptive statistics and will describe patterns of antidepressant use according to type of antidepressant prescribed, duration of use and dose. We will describe the severity of depression (classified as mild, moderate or severe) in the study cohort, overall and by age and gender. We will describe patterns of antidepressant use according to severity of depression.

#### *Time to event analysis*

We will determine absolute and relative risks according to type of antidepressant prescribed for dropouts due to any cause (acceptability), dropouts due to any adverse event (tolerability), response and remission (efficacy), number of patients experiencing at least one adverse event and all-cause mortality (safety).

Incidence rates of all the outcomes above described will be calculated in the study cohort of people with a new diagnosis of depression. The statistical analysis will comprise a series of survival

analyses to assess the relationship between exposure to each antidepressant monotherapy (and antidepressants grouped according to the four main classes in the BNF) and acceptability, efficacy, safety and tolerability. Dose of antidepressant drugs will be examined in the analyses. The date of entry into the survival analyses will be the date of starting an antidepressant for patients on antidepressants and the date of the first episode of depression for patients not on antidepressants. The right censor date will be the earliest of the following: date of dropout, date of switch to another antidepressant, date of a new antidepressant added, date of a mood stabiliser added, date of an antipsychotic added, date of the outcome of interest, date of death, date of leaving the practice, or the study end date. Death will be a date of censorship for all of the adverse events, except for the analysis of all-cause mortality where date of death is the outcome date.

We will explore non-linear relationships between continuous variables and the outcome using fractional polynomials.[19]

Cox's proportion hazards models accounting for practice clustering will be used for all time-to-event analyses. The analyses will calculate hazard ratios (HRs) and 99.9% confidence intervals (CIs) comparing:

1. The risk of acceptability, efficacy, safety and tolerability for each antidepressant will be directly compared with each other.
2. The risk of efficacy and safety in patients on any type of antidepressant compared with patients with no antidepressant treatment.
3. Each separate class of antidepressants (SSRIs, TCAs, MAOIs and other antidepressants) will be directly compared with each other for acceptability, efficacy, safety and tolerability and compared with no treatment for efficacy and safety.

4. Analyses will also calculate HRs according to prescribed dose of antidepressant; where numbers are sufficient individual antidepressants within each class will be examined.
5. Analyses of interaction will be carried out to examine the extent to which patient's characteristics (age, gender), use of other medications and comorbidities modify the relationship between antidepressant use and outcomes.

Adjustment will be made for potential confounders as listed above. The assumptions of the Cox proportional hazards model will be checked. In case these assumptions are implausible, we will instead employ alternative parametric survival models.

For acceptability (discontinuation due to any cause), according to results from RCTs, a mean of 26.4% of patients discontinued the treatment for any cause after the first two months of treatment.

[6] Considering an alpha of 0.01 and a beta of 0.2, to detect a hazard ratio of 2, 1.5 and 1.2, we require a sample size of at least 369, 1077, 5324 patients per treatment, respectively.

#### *Self-controlled case-series analysis*

The self-controlled case-series analysis only uses the patients in the cohort who have the outcomes of interest.[20] Cases with each type of adverse event will be identified; these will be cases with a diagnosis of the adverse event since 1st January 1998, who had a new diagnosis of depression (see above for details). Information on prescriptions for antidepressants in these cases will be extracted and the analysis (such as conditional fixed-effects Poisson regression model) will compare rates of the outcomes of interest in periods following a first prescription for an antidepressant compared with a baseline period free of antidepressant treatment for the same patient.[21] We will account for multiple periods of exposure in the analysis, defining a period of antidepressant treatment as one without gaps of more than 30 days between the end of a

prescription and the start of the next prescription. A prescription after more than 30 days will count as a new treatment episode. The 28 days before the first prescription in each treatment period will be considered separately, as occurrence of the outcome of interest in this period could affect the probability of an antidepressant prescription. All other time periods outside these specified risk periods will contribute to the baseline person time, i.e. the unexposed periods.

### *Propensity score*

A propensity score for antidepressant use will be calculated using a logistic regression model,[22,23] which will initially include all confounders above mentioned. We will be using the whole sample for the analysis. Antidepressant users will be matched to a common comparator (e.g. fluoxetine users) and to non-antidepressant users on the logit of the propensity score using a caliper width of 0.2 of the standard deviation of the logit of the propensity score. A matching ratio of 1:1 will be used.[24] We will assess standardised differences between the two groups with 10% or more considered as suggestive of imbalance.[25] We will then perform a multivariable cox regression to estimate the effect of antidepressant on outcome occurrence.

## **DISCUSSION**

This protocol describes a large cohort study which aims to assess the acceptability, efficacy, safety and tolerability of antidepressant monotherapy in adults with depressive disorder in primary care. This study is part of the “Personalise antidepressant treatment for unipolar depression combining individual choices, risks and big data (PETRUSHKA)” project. PETRUSHKA seeks to ultimately develop and test a precision medicine approach to the pharmacological treatment of major depressive



disorder by synthesizing data coming from randomized controlled trials (RCTs) and data coming from observational studies and patient registries.[12]

This study builds on a solid foundation of research performed on QResearch on the safety of antidepressants use in people aged 20–64 years,[10] and in older people[9] and contributes to the field by focusing on clinically relevant outcomes which have been evaluated also in RCTs.

At present, the best evidence in terms of comparative efficacy and acceptability of antidepressants comes from the “Group of Researchers Investigating Specific Efficacy of individual Drugs for Acute depression (GRISELDA)” study, which was a network meta-analysis including only RCTs.[6] Indeed, RCTs are the most reliable source of information on relative treatment effects. However, RCTs have strictly experimental settings and employ inclusion criteria which might limit their ability to predict results in real-world clinical practice.[26] Large observational studies may complement evidence provided by RCTs and potentially address some of their limitations. Recently, Efthimiou et al. [27] developed statistical methods for combining randomized and non-randomized evidence in a network meta-analysis.[27]

Our study aims to investigate the effectiveness of antidepressant monotherapy in a real-world setting.[28] The study design is a cohort study and thus observational in nature.[29] Compared to the GRISELDA study, the outcomes will include an in-depth evaluation of side effects, with a longer follow-up (one year). Moreover, in our cohort study we will include a representative population of people with depression in England, also including people with multiple comorbidities, who would normally be excluded in a RCT. This is because people with multiple comorbidities represent the added value of a real-world study, whose results aim to be generalised to a wide population.

The major strengths of our study include the large population contained in QResearch and the potentially high generalisability (external validity). Our study suffers from a number of limitations.

First, the main limitation is the weaker internal validity of our study when compared to RCTs due to potential indication bias and residual confounding. A recent umbrella review showed that most of available evidence from observational studies on antidepressants is actually flawed by confounding by indication. [30] Although we used a large number of confounders and propensity score matching to control confounding, we are aware RCTs are generally considered to be at a lower risk of bias compared to observational studies, when aiming to estimate causal effects of interventions.

Second, some information before date of entry in QResearch database may have not been recorded. For example, we will exclude patients if they had received prescriptions for an antidepressant or they had a diagnosis of depression before their entry date in the cohort, but this may have not been recorded in all cases.

Third, we will be not adjusting antidepressants dose for concomitant drugs which may increase or decrease antidepressants' metabolism (e.g. carbamazepine).

Fourth, time to event analyses in patients on any type of antidepressant compared with patients with no antidepressant treatment may suffer from survival bias as the start of follow-up for exposed individuals is the date of antidepressant prescription, while for unexposed individuals it is the date of depression diagnosis. However, this will not affect comparisons between antidepressants which is the main focus of our study.

In the future, we aim to predict the effects of different antidepressants given information on patient-level characteristics, by using both statistical and machine learning tools (such as artificial neural networks and support vector machines). The present study will identify a range of possible prognostic factors and effect modifiers which will be used to inform the predictive model. The predictive model will then be used in PETRUSHKA to develop a web-based treatment algorithm to help clinicians, patients and carers to personalise the choice of antidepressant in primary care.[12]



## **SUPPLEMENTARY FILES**

**Supplementary file 1.** Read Codes for depression grouped in “Minor”, “Major” and “Others”.

## **ETHICS**

The project has been independently reviewed by the QResearch scientific committee.

## **AUTHORS' CONTRIBUTIONS**

FDC, AC, AT and JHC conceived and designed the study. CG, CC, OE and SF contributed to the design of the project. FDC drafted the manuscript, and all authors critically revised the manuscript and approved the final version.

## **FUNDING**

National Institute for Health Research (grant RP-2017-08-ST2-006).

## **ACKNOWLEDGMENTS**

AC is supported by the National Institute for Health Research (NIHR) Oxford Cognitive Health Clinical Research Facility, by an NIHR Research Professorship (grant RP-2017-08-ST2-006), by the NIHR Oxford and Thames Valley Applied Research Collaboration and by the NIHR Oxford Health Biomedical Research Centre (grant BRC-1215-20005). FDC is supported by the National Institute for Health Research (NIHR) Research Professorship to Professor Andrea Cipriani (grant RP-2017-08-ST2-006) and by the NIHR Oxford Health Biomedical Research Centre (grant BRC-1215-20005). The views expressed are those of the authors and not necessarily those of the UK National Health Service, the NIHR, or the UK Department of Health. OE is supported by project grant No. 180083 from the Swiss National Science Foundation (SNSF).

## **CONFLICT OF INTERESTS**

Andrea Cipriani has received research and consultancy fees from INCiPiT (Italian Network for Paediatric Trials) and Angelini Pharma. He has also organised a workshop about digital mental health sponsored by Angelini Pharma.

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